

# Plant Breeder's Rights (Approved Form) Approval 2018

I, Frances Roden, Registrar of Plant Breeder's Rights, under subsection 3(1) and subsection 3(1B) of the *Plant Breeder's Rights Act 1994*, approve the following attached forms:

- (1) "Application for Plant Breeder's Rights (Part 1)" for the purpose of an application made under section 26.
- (2) Applications submitted using the "International Union for the Protection of New Varieties of Plants (UPOV) PRISMA PBR Application Tool" (accessed via http://www.upov.int/upovprisma/en/index.html, as updated from time to time) are deemed to be in the approved form for the purposes of an application made under section 26.
- (3) "Nomination of a Qualified Person" for the purposes of an application made under section 26.
- (4) "Supplementary Pages to the Part 1 Application" for the purposes of an application made under section 26.
- (5) "Application for Plant Breeder's Rights (Part 2)" for the purposes of a detailed description under section 34.
- (6) "Certification by a Qualified Person (QP)" for the purposes of a detailed description under subsection 34(4).
- (7) "Application for a Declaration of Essential Derivation" for the purposes of an application made under section 40 or section 41.
- (8) "Application to Rectify the PBR Register" for the purposes of an application made under subsection 62A(2).

Dated 24 November 2018

Francis Roden

Frances Roden Registrar of Plant Breeder's Rights



PART







# Application for Plant Breeder's Rights GENERAL INFORMATION

#### **Privacy Notice**

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- how you may make a complaint about a breach of the Privacy Act and how we will deal with your complaint; and
- IP Australia's Privacy Contact Officer details.

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In accordance with the PBR Act, IP Australia may make this completed form available to any person, upon request and payment of a fee.

IP Australia will publish the:

- Applicant name, phone and fax numbers;
- Agent name, phone and fax numbers;
- Town, State and Country of the applicant's address; and
- full address of the Genetic Resource Centre

in the Register of Plant Varieties, the Plant Varieties Journal and the Plant Breeder's Rights Database. Once information is available on the internet, IP Australia has no control over its subsequent use and disclosure. You should be aware that the information (including personal information) held in IP Australia's online IP Rights databases is also available on request, subject to our terms and conditions.

You should also be aware that under the International Union for Protection of New Varieties of Plants (UPOV) (<a href="www.upov.int/">www.upov.int/</a> <a href="www.upov.int/">www.upov.int/</a> <a href="www.upov.int/">portal/index.html.en</a>) Convention, IP Australia is required to disclose information regarding plant breeder's rights applications (including the name of the applicant) to the UPOV in Geneva, Switzerland. Once information is provided to UPOV, IP Australia has no control over its subsequent use and disclosure.

If you do not provide the personal information required on the form, IP Australia may not be able to process this form.

IP Australia will not otherwise use or disclose your personal information without your consent, unless authorised or required by or under law.

#### Consent

By completing this form, in addition you provide your consent to your personal information being handled in accordance with this privacy notice, including being disclosed as provided above.

When you provide your consent to your personal information being disclosed to overseas recipients, including publication online, you understand that IP Australia will not be accountable for any subsequent use under the Privacy Act, nor are you able to seek redress under that Act, for the actions of any overseas recipient.



**PART** 





# Application for Plant Breeder's Rights **GENERAL INFORMATION**

|      | ormation provided by yo<br>the Plant Breeder's Righ                          | ou on this form may be used in t<br>ts Program.  | facilitating the op                   | peration              | Office Use    | e Only             |           |          |
|------|--|--|---------------------------------------|-----------------------|---------------|--------------------|-----------|----------|
| No   | te: There are two parts  | of the PBR application.  |                                       |                       | Application   | No.                |           |          |
| pre  | requisite to acceptance  | MATION: Successful completion into the PBR scheme and qualities authorisation and declaration in   | fication of the va                    | Hety IOI              | Date:         |                    |           |          |
| of t |  | NEW VARIETY: After acceptance of d e presented - the evidence of d   |                                       |                       |               |                    |           |          |
|      | his form intended to be ctronic lodgement?                                   | e attached as part of an eService No Yes   | es / B2B                              |                       |               |                    |           |          |
|      | Name and contact det   | on about the applicant, ag<br>ails of the applicant - The name<br>se Supplementary Pages to Part  More than one applic   | e and address of<br>1 Application for | each applic           | 03) for each  | additional app     | licant.   | Yes      |
|      | Name of Applicant  |  |                                       |                       |               |                    |           |          |
|      | Address (can be a<br>PO Box)   |  |                                       |                       |               | MACE PARTY         |           |          |
|      |  |  |                                       | State                 |               | Postcode           |           |          |
|      |  | Country (if not Australia)   |                                       |                       |               |                    |           |          |
|      | Contact Name   |  |                                       |                       |               |                    |           |          |
|      | Contact Details  |  |                                       |                       |               |                    |           |          |
|      | Telephone  | ( )  |                                       | Fax                   |               | )                  |           |          |
|      | Mobile Number  | harman ha |                                       |                       |               |                    |           |          |
|      | Email address  |  |                                       |                       |               |                    |           |          |
|      | ACN/ARBN<br>(if applicable)  |  |                                       |                       |               |                    |           |          |
| 2.   | either appoint an age<br>address in Australia of<br>If the applicant is resi | tralia or New Zealand - If the ap<br>or resident in Australia or New 2<br>or New Zealand for the service of<br>dent in Australia or New Zealan<br>on on the applicant's behalf.'   | Zealand to act on<br>notices on the a | the applica pplicant. | nt's behalf i | in the application | on; or sp | ecify an |
|      |  | ant is a resident in Australia or<br>tact details are provided in que:   | stion 1 🕕 G                           | o to questic          | n 3           |                    |           |          |
|      | Postal address for ser is different to address                               | vice of notices on the applicant<br>s in question 1  |                                       | ovide detai           | ls on next p  | age                |           |          |
|      | Agent appointed to a   | ct on behalf of the applicant  |                                       |                       |               | -                  |           |          |

| Name of Agent<br>(if applicable)                 |                       |  |   |  |          |
|--|-----------------------|--|---|--|----------|
| Address (can be a                                |                       |  |   |  | _        |
| PO Box)  |                       |  | *************************************** |  |          |
|  |                       |  | State                                   | Postcode   |          |
|  | Country (if not Aus   | stralia)   |   |  |          |
| Contact Name                                     |                       | the state of the s |   |  |          |
| Contact Details                                  |                       |  |   |  |          |
|  | Telephone             | ( )  |   |  |          |
|  | Fax                   | ( )  |   |  |          |
|  | Mobile Number         | **************************************   |   |  |          |
|  | Email address         |  |   |  |          |
|  | ACN/ARBN (if app      | dicable)   |   |  |          |
|  |                       |  |   |  |          |
| assignment, by will o                            | r by operation of law | . Where the breeder is a   | n employee or mem                       | ownership has been transferred by<br>ber of an organisation and the variety<br>sation, then consider the organisation as | <b>;</b> |
|  |                       |  |   | he variety is required. Where the  |          |
| . ,  | •                     | s of the transfer of own   | , ,                                     | aea.<br>each additional applicant.   |          |
|  |                       |  | m (PBK/UU/UU3) JOF                      | еасп аавтопат аррисапт.  |          |
| Name of original breeder                         | (s) who conducted or  | r directed the work  |   |  | _        |
|  |                       |  |   |  |          |
|  |                       |  |   |  |          |
| Employer<br>(if applicable)                      |                       |  |   |  |          |
| Address  |                       |  |   |  |          |
| nduress  |                       |  | _                                       |  |          |
|  |                       |  | State                                   | Postcode<br>————————————————————————————————————   |          |
|  | Country (if not Aus   | tralia)  |   |  |          |
| Relationship of the breed                        | ler to the Applicant  | detailed in question 1   |   |  |          |
| Breeder is the applicant                         |                       |  |   |  |          |
| Breeder is an employee organisation which is the |                       | Go to question 4   | 1                                       |  |          |
| Breeder is not the applica                       | ant                   | ☐ How were the o   | wnership rights tran                    | sferred to the applicant?  |          |
|  |                       | By assignment  |   |  |          |
|  |                       | By will  |   |  |          |
|  |                       | By operation of<br>law/other   | Specify                                 |  |          |
|  |                       | •  |   | ·  |          |
|  |                       |  |   |  |          |
|  |                       | Copy of the doc  | ument attached?                         | AM   |          |
|  |                       | No ☐ Wh  | y not?                                  |  |          |
|  |                       | Yes  |   |  | _        |
|  |                       |  |   |  |          |

# Section 2 - General information about the variety 4. Botanical name of the variety 5. Common name of the species Does the species have a common name? No Provide details 6. Proposed name for the variety - If an application for this variety has already been lodged overseas then you must propose the same name. Please note that before a name is accepted it must conform with section 27 of the PBR Act. When accepted, the variety name is protected under the PBR Act. 7. Synonym - A synonym is an alternative name for a variety. Please note that once accepted, the synonym is also protected. A synonym must also conform with section 27 of the PBR Act. Nο Yes Provide details 8. Other names - Please list any other names under which the variety has been known in Australia or overseas. Do other names exist? No Yes ▶ Breeder's code Trade name Other name 9. Is the variety an Australian native species? No It is mandatory to submit a herbarium specimen to the Australian Cultivar Registration Authority (ACRA). Please Yes indicate the time of flowering and/or ideal time for a specimen to be collected and sent to ACRA. 10. Has this species ever been declared a noxious weed in any Australian state or territory? No Provide details Yes 11. Are you under any obligation to notify the supplier/owner of the original germplasm about your intention to obtain PBR?

Yes, notified

Yes, notified

12. Are you required, under any agreement with your current employer/funding agency, to inform them of your intention to acquire

No obligation

No obligation

Not applicable

rights to this variety?

Not applicable

| <b>13.</b> Ha  | s an applica                | ition for PBR in                                       | this variety be               | en lodged in a c                         | ountry other t                      | han Australia?    |   |   |            |
|----------------|-----------------------------|--|-------------------------------|--|-------------------------------------|-------------------|---|---|------------|
| N              | o 🗌                         |  |                               |  |                                     |                   |   |   |            |
| Y              | es P                        | rovide details   |                               |  |                                     |                   |   |   | •          |
|                |                             | Country filed  |                               | Lodgement mm/yyyy                        | Application N                       | lo. Current       | Status                                  | Variety name                                    |            |
|                |                             | ***************************************                |                               |  |                                     |                   |   |   | ********** |
|                |                             |  |                               |  |                                     |                   |   |   |            |
|                |                             |  |                               |  | ****                                |                   |   |   |            |
|                |                             | <del></del>  |                               |  |                                     |                   |   |   |            |
|                |                             |  |                               |  |                                     |                   |   |   |            |
|                |                             |  |                               |  |                                     |                   |   |   |            |
| ov<br>ap       | ote: A claím<br>erseas appl | for priority can<br>ication with a U<br>vith a UPOV me | only be made<br>JPOV member s | if the Australian<br>state. If this is t | n application is<br>he first lodgen | nent of an applic | 2 months of lo                          | odgement of the earl<br>variety (i.e. no overse |            |
| N              | o 🗌                         |  |                               |  |                                     |                   |   |   |            |
| Ye             | es                          |  |                               |  |                                     |                   |   |   |            |
| <b>15.</b> Ha  | s the variety               | y been sold in A                                       | ustralia with th              | ne breeder's co                          | nsent?                              |                   |   |   |            |
| N              | o 🗌                         |  | dd/mm/y                       | ууу                                      |                                     |                   |   |   |            |
| Ye             | es}∙D                       | ate of first sale                                      |                               |  |                                     |                   |   |   |            |
|                |                             | nder what<br>ariety name                               |                               |  |                                     |                   |   |   |            |
| <b>16.</b> Ha  | s the variety               | y been sold ove  | rseas with the                | breeder's conse                          | ent?                                |                   |   |   |            |
| N              | o 🗌                         |  | dd/mm/y                       | 'YYY                                     |                                     |                   |   |   |            |
| Ye             | es D                        | ate of first sale                                      |                               |  |                                     |                   |   |   |            |
|                |                             | nder what<br>ariety name                               |                               |  |                                     |                   |   |   |            |
|                | W                           | hich country   |                               |  |                                     |                   |   |   |            |
| Section        | on 3 - Info                 | ormation abo   | out the origin                | n and breedi                             | ng procedu                          | re used to orig   | ginate the v                            | ariety  |            |
| <b>17.</b> Ori | igin and par                | entage of the v  | ariety                        |  |                                     |                   |   | •   |            |
| (i)            | Origin of t                 | he variety - the                                       | variety arose f               | rom:                                     |                                     |                   |   |   |            |
|                | Controlled                  | d pollination  | Spont sport                   | taneous mutati                           | on or                               | not restricted t  | o, selections: f                        |   |            |
|                | Open poll                   | ination  | Induc                         | ed mutation or                           | sport [                             | or unnamed pla    | ants; or selecte                        |   |            |
|                | Genetic m                   | nanipulation   |                               |  |                                     |                   | e (GRC)) - furt                         | lied by a Genetic<br>ther information will      |            |
|                | Other orig                  | gin  | Specify                       |  |                                     |                   |   |   |            |
| (ii            | Breeding s                  | ystem of the sp  | ecies                         |  |                                     |                   |   |   |            |
|                | Not Know                    |  |                               |  |                                     |                   |   |   |            |
|                | Self pollin                 | ation  | Ofte                          | n self pollinate                         | d                                   | Cross pollina     | ated 🔲                                  | Apomixis  |            |
|                | Other                       | GR4[□]   | ecify                         |  |                                     |                   | *************************************** |   |            |

| nformation on parent material<br>Name of maternal parent or source g | germplasm/variety   |
|--|---|
|  |   |
| Breeder  |   |
|  |   |
| Is the maternal parent or source gen                                 | mplasm/variety protected by PBR in Australia?                                 |
| No Yes   |   |
| Is the maternal parent or source gerr                                | nplasm/variety protected by PBR in another country?                           |
| No [   |   |
| Yes Provide particulars of re  | gistration  |
| Country Filed  |   |
|  | dd/mm/yyyy  |
| Date of Lodgement  | Application No.   |
| Are there other parent(s)?   |   |
| No [   |   |
| Yes Name of other parent(s)  |   |
|  | •   |
| Breeder  |   |
|  |   |
| (**************************************                              | otected by PBR in Australia?  |
| No Yes   | otected by PBR in another country?  |
| No No  | otected by I Bit III another country:   |
|  | ticulars of registration  |
| Country File   |   |
| country inc  | dd/mm/yyyy  |
| Date of Lod  |   |
| Were any of the parents  | sold in Australia under other names?  |
|  | Provide details   |
| <del></del>  |   |
| Was 'Selection from `source' materia                                 | Il indicated in question 17(i)?   |
| No \   | ii maicatea in question 17(1):  |
| Yes Please complete the following                                    | lowing where relevant   |
|  | data is provided with this application  |
| The source material is:  | A cultivated/obsolete variety Collected from the wild                         |
|  | A land variety (one which has been traditionally cultivated by farmers for th |
|  | own use) Special genetic stock (e.g. breeding lines)                          |
| The source material is:  | Subject to a Material Transfer Agreement                                      |
| me source material is.   | Copy enclosed? No Provide reason  |
|  | Yes T   |
|  |   |
|  | Subject to FAO trust or material transfer agreements                          |
|  | Still available for inclusion in a comparative trial                          |

18 Prima facie case for breeding and prima facie case for distinctness - List the characteristics or combination of characteristics which make your variety (the candidate) clearly distinguishable from its parents/ source material and the 'most similar varieties of common knowledge (VCK)' (the comparators). Characteristics must be capable of precise definition to establish a prima facie case. Please attach a photograph of the variety showing its distinguishing features.

| mple               | Characteristic(s) in which the                   | Describe the expression                     | Describe the expression  |
|--------------------|--|---|--------------------------|
| Name of comparator | candidate variety differs from<br>the comparator | of the characteristic for<br>the comparator | of the characteristic fo |
| Variety X          | Flower colour                                    | Red   | White                    |

#### (i) Prima facie case for breeding

Comparison with maternal or source germplasm/variety

| Name of maternal parent or source germplasm/variety | Characteristic(s) in which the candidate variety differs from the maternal parent or source germplasm/variety | Describe the expression of the characteristic for the maternal parent or source germplasm/variety | Describe the expression of the characteristic for the candidate |
|---|---|---|---|
|   |   |   | •   |
|   |   |   |   |
|   |   |   |   |
|   |   |   |   |

Comparison with other parent(s). If unsure, list putative pollen parents (attach additional sheets if necessary)

| Name of other parent(s) | Characteristic(s) in which the candidate variety differs from the other parent(s) | Describe the expression of the characteristic for the other parent(s) | Describe the expression<br>of the characteristic for<br>the candidate |
|-------------------------|---|---|---|
|                         |   |   |   |
|                         |   |   |   |
|                         |   |   |   |
|                         |   |   |   |
|                         |   |   |   |
|                         |   |   |   |
|                         |   |   |   |

| ▶ Provide details of  | st variety of the species/hybrid?<br>f distinctness  |   |  |
|---|--|---|--|
| s Go to question 19   |  |   |  |
|   | variety of common knowledge (VCK   | :)  |  |
| Name of comparator -<br>the most similar VCK                              | Characteristic(s) in which the candidate variety differs from the comparator                           | Describe the expression of the characteristic for the comparator      | Describe the express of the characteristic the candidate |
|   |  |   |  |
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|   |  |   |  |
| AANIMA .  |  |   |  |
|   | ·  |   |  |
| omparison with other similar  | r varieties of common knowledge (V   | CK)   |  |
| omparison with other similar<br>Name of comparator -<br>other similar VCK |  | CK)  Describe the expression of the characteristic for the comparator |  |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | Describe the express of the characteristic the candidate |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |
| Name of comparator -  | r varieties of common knowledge (V<br>Characteristic(s) in which the<br>candidate variety differs from | Describe the expression of the characteristic for                     | of the characteristic                                    |

| 19 | Breeding procedures used to initiate the new variety   |
|----|--|
|    | Describe the breeding procedures used to initiate the new variety - this information will help to asses whether the activities of the breeder qualifies as breeding under section 5(1) of the PBR Act. If required please attach additional sheets. Texts and diagrams are acceptable. |
|    | Date(s) when observations were first made  |
|    | Where observations were first made (property and/or town and country)  |
|    |  |
|    | Where other work was conducted (if applicable)   |
|    |  |
|    | Number of cycles of selection  |
|    |  |
|    | Main selection criteria used to develop the variety  |
|    |  |
|    | Mode of propagation between generations  |
|    |  |
|    | The number of generations the variety has been maintained in its present form  |
|    |  |
|    | The occurrence of any off types  |
|    |  |
|    | Briefly outline the procedures used in developing the variety (add additional sheets if required)  |
|    |  |
|    |  |
|    |  |
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|    |  |
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|    |  |
|    |  |
|    |  |
| 2U | Is the variety a Genetically Modified Organism?  No  |
|    | Yes Gene Technology Regulator Licence Number   |
|    | dd/mm/yyyy   |
|    | Dated  |

#### Section 4 - Information about the Genetic Resources Centre and DUS trial

| 21 | Nominate the name<br>maintained - A Ger<br>material and may in  | netic Resource   | Centre is a p  | lace co                             | insidered to be su  | itable :          | for the sto                | rage and mair                      | al of the variety will be<br>ntenance of germplasm  |         |
|----|---|--|--|-------------------------------------|---|-------------------|----------------------------|------------------------------------|---|---------|
|    | *Street Address:  |  |  |                                     |   |                   |                            |                                    |   |         |
|    | Street Address.   |  |  |                                     |   |                   |                            |                                    |   |         |
|    | ļ   |  | **************************************   |                                     |   |                   |                            |                                    |   |         |
|    | Ĺ   | * Must be a sti  | eet address  | in Aus                              | tralia or New Zea   | land              |                            |                                    |   |         |
| 22 | Details of the properties the discretion to                     | osed DUS test  | - Usually ap   | plicant                             | s conduct compar  | ative g           | growing tri                | als in Australia                   | a. However the PBR offic  | e       |
|    | website).   | o accept overse  | eas DOS lesi   | report                              | s provided certair  | CONG              | ICIOIIS are I              | net juetans av                     | rallable of the Fatt  |         |
|    | to the wide genoty  | pe by environm<br>ntly been differ<br>ull DUS trial mu | ent interact<br>ent from th  | ions th<br>ose obt                  | at have been prev<br>tained from trials                               | iously<br>grown   | experience<br>under Aus    | ed. Varietal de<br>stralian condit | a for the following taxa d<br>escriptions from oversea<br>ions. Consequently, for t                 | S       |
|    | a comparative   |  | lia, including<br>s of commo   | the can                             | indidate variety<br>Jedge   |                   |                            |                                    |   |         |
|    | a verification  | trial in Australi<br>o confirm the s                   | a, including   | the car                             |   |                   |                            |                                    |   |         |
|    | based solely o  | on an overseas   | DUS test rep   | ort                                 |   |                   |                            |                                    |   |         |
|    | Details on trials gro   |  |  |                                     |   |                   |                            |                                    |   |         |
|    | Locatio   |  | No. of Pla   | ints                                | Date of Commenceme  | ent               |                            |                                    | hich the distinguishing   | <i></i> |
|    |   |  |  |                                     | dd/mm/yyyy  |                   |                            | characteristics                    | s can be observed   |         |
|    |   |  |  |                                     |   |                   |                            |                                    |   |         |
|    |   |  |  |                                     |   |                   |                            |                                    | ***************************************   |         |
|    |   |  |  |                                     |   |                   |                            |                                    |   |         |
|    |   |  |  |                                     |   |                   |                            |                                    |   |         |
|    | Details on overseas   | s DUS test repo  | rt   | wu -                                | <u> </u>  | l                 |                            |                                    |   |         |
|    |   |  |  |                                     | ····  |                   |                            |                                    |   |         |
|    | Testing Country   | 127  |  | 44MT .                              |   |                   |                            | dd/mm/yyyy                         |   |         |
|    | Test Date   | dd/mm/y  | <u>yyy</u>   | E                                   | stimated date of A  | Availab           | oility                     | ασ/ππε/γγγγ                        |   |         |
|    | testing authority as<br>certified copy with                     | nd supply a cer<br>this application<br>greement, the   | tified copy on the copy of the | if it to t<br>olied, th<br>iority w | he PBR office. If the<br>ne PBR office will r<br>vill only supply the | ne repi<br>review | ort is alrea<br>the data f | dy available to<br>or acceptabilit | tly from the relevant<br>o you then include a<br>ty. In some cases, where<br>e PBR Office. For more |         |
| 23 | Nominate the date<br>the examiner can v<br>necessary, it can be | erify the distin                                       | guishing cha   | racteri                             | stics claimed in th   | timate<br>is appl | ed examina<br>lication. It | ation date sho<br>is mandatory t   | uld be the time when<br>to provide a date. If   |         |
|    | •   |  |  |                                     | ld/mm/yyyy  | i                 |                            |                                    |   |         |
|    | Estimated date for  | DUS examinat   | ion  |                                     |   |                   |                            |                                    |   |         |

#### Section 5 - Authorisation and Declaration

For joint applicants, use Supplementary Pages to Part 1 application Form (PBR/00/003) for each additional applicant

#### 24 Application for PBR, declaration that all information is true and correct.

I (we)

- apply for Plant Breeder's Rights to the variety described in this application, and
- authorise the Plant Breeder's Rights Office, for the purposes of examination, to exchange with the Plant Breeder's Rights Authorities of other countries all necessary information and material related to the variety, provided that the rights of the Applicant are safeguarded, and
- agree to the release of propagative material prior to the granting of PBR if required for comparative testing
  or scientific purposes, providing the material is used for no other purpose and all material relating to the
  variety is returned when the trials are complete, and
- declare that the information given in all parts of and attachments to this application is true and correct.

#### **Declaration of Agreement:**

| ſ                     |   |
|-----------------------|---|
| (Please print name)   |   |
| am theapplicant/agent | or am a signatory thereof and declare that all parties involved have agreed to the terms and conditions outlined above. |
| Position in Company/  |   |
| Department            |   |
| (if applicable)       |   |
|                       |   |
| Name of Company/      |   |
| Department            |   |
| (if applicable)       |   |
| ()                    |   |
|                       | dd/mm/yyyy  |
| Date                  |   |
|                       |   |

<sup>\*</sup>The penalty under section 75(1) for intentionally or recklessly making a false statement in support of an application is six months imprisonment.

#### **Checklist of Attachments - Part 1 Application**

| Have you included the following?   |
|--|
| One completed original Part 1 Application form (PBR/00/001) for Plant Breeder's Rights   |
| A copy of the transfer of ownership documentation (e.g. assignment) from the breeder to the applicant, if the applicant is not the original breeder  |
| Completed Supplementary Pages to Part 1 Application form (PBR/00/003) (if applicable)  |
| A completed Authorisation of Agent form (PBR/00/004) if you are applying on behalf of the applicant  |
| A completed Nomination of a Qualified Person form (PBR/00/005)   |
| Photograph or photographs showing the distinguishing characteristics of the new variety  |
| Application fee if submitting by Post (see <a href="www.ipaustralia.gov.au">www.ipaustralia.gov.au</a> for payment methods and the current fee schedule Note: the fee when submitting by eServices is less than when submitting by Post. |
| Have ALL relevant questions been answered?   |
| If you are submitting this form as an attachment for an eServices lodgement, save this PDF form to your desktop, then attach using IP Australia's eServices  |





#### Nomination of a Qualified Person

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- IP Australia's Privacy Contact Officer details.

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IP Australia will publish the:

- Applicant name;
- Agent name;
- Qualified Person name and contact details; and
- Town, State and Country of the applicant's address

in the Register of Plant Varieties, the Plant Varieties Journal, the Plant Breeder's Rights Database and/or on our website. Once information is available on the internet, IP Australia has no control over its subsequent use and disclosure. You should be aware that the information (including personal information) held in IP Australia's online IP Rights databases is also available on request, subject to our terms and conditions.

If you do not provide the personal information required on the form, IP Australia may not be able to process this form.

IP Australia will not otherwise use or disclose your personal information without your consent, unless authorised or required by or under law.

#### Consent

By completing this form, in addition you provide your consent to your personal information being handled in accordance with this privacy notice, including being disclosed as provided above.

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#### **Nomination of a Qualified Person**

This form is to be completed by the applicant or their agent at the time of the initial application and submitted with the Part 1 of the application for PBR.

If accredited as a Qualified Person (QP) for the species, the applicant or agent can nominate themselves.

However, if the applicant or agent is not accredited by the PBR Office as a QP there are two options available:

- the applicant or agent can complete this form and simultaneously apply for accreditation, or
- the applicant or agent can select and nominate an accredited consultant qualified person from the list in appendix 3 of
  Australian Plant Varieties Journal. If this option is selected you should contact the selected qualified person as soon as
  possible and use this form as a guide to come to an understanding with them on what role they will play in the application
  process.

| Name of variety  |             |  |           |  |  |  |  |
|--|-------------|--|-----------|--|--|--|--|
| Name of variety  |             |  |           |  |  |  |  |
| Name of nominated<br>Qualified Person (QP)   |             |  |           |  |  |  |  |
| I intend the nominated QP to perform the following fund  | tions:      |  |           |  |  |  |  |
| <ul> <li>review the application documents related to the above variety first filed in another UPOV     member country and make recommendations to the PBR Office on their suitability for     examination without a DUS test growing in Australia, and/or</li> </ul> |             |  |           |  |  |  |  |
| <ul> <li>perform those functions ticked in the box below if the PBR Office requires a comparative DUS         Yes         No         test growing in Australia as part of the application process.</li> </ul>  |             |  |           |  |  |  |  |
| In addition to those already listed, tick only those funct   | ions that t | he QP has agreed to perform in relation to this application  |           |  |  |  |  |
| Completion of Part 1 of the application form.  |             | Certification of the Part 2 application form.  | $\sqrt{}$ |  |  |  |  |
| Determine the most similar varieties of common knowledge and the need to include source or parental material in trial.   | V           | Provide observations, data and statistical analysis of the DUS trial for the applicant to complete Part 2 of the application form.   |           |  |  |  |  |
| Planning the test growing trial  |             | Completion of Part 2 of the PBR application.   |           |  |  |  |  |
| Recommending the most appropriate trial site for the varieties in trial.   |             | Verification of the field trial, observations, data and statistical analysis.  |           |  |  |  |  |
| Choice of trial site   |             | Perform the necessary statistical analysis of the measurement to determine DUS.  | 5         |  |  |  |  |
| Supervision of the layout and planting of the trial  |             | Provide a detailed description of variety in the PBR approved format. $ \label{eq:provided} % \begin{center} \$ |           |  |  |  |  |
| Care and maintenance of the trial  |             | Provide a comparative slide or a colour print of the variety showing distinctness characters.  |           |  |  |  |  |
| Instruction to applicant on the timing and nature of observations/measurements needed.   |             | Make observations/take measurements to comply with approved DUS test guidelines.   |           |  |  |  |  |
| Declaration:   |             |  | _         |  |  |  |  |
| By ticking this box I declare myself to be the person identified *below and the information to be true and correct.  |             |  |           |  |  |  |  |
|  |             |  |           |  |  |  |  |
| am an authorised signatory for the applicant Date: (DD/MM/YYYY)  |             |  |           |  |  |  |  |

\*THE PENALTY UNDER SECTION 75(1) FOR MAKING A FALSE STATEMENT IN SUPPORT OF AN APPLICATION IS SIX MONTHS IMPRISONMENT.

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# **Supplementary Pages to the Part 1 Application**









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IP Australia will publish the:

- Applicant name;
- Agent name;
- Qualified Person name; and
- Town, State and Country of the applicant's address

in the Register of Plant Varieties, the Plant Varieties Journal and the Plant Breeder's Rights Database. Once information is available on the internet, IP Australia has no control over its subsequent use and disclosure. You should be aware that the information (including personal information) held in IP Australia's online IP Rights databases is also available on request, subject to our terms and conditions.

If you do not provide the personal information required on the form, IP Australia may not be able to process this form.

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#### Consent

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# Supplementary Pages to the Part 1 Application

| P | TM | 0 | PBR |
|---|----|---|-----|
|   |    |   |     |

Supplementary pages to the Part 1 Application - Questions 1, 3 and 24.

| 1. Name and contact o        | details of the applicant - The na      | ame ar  | nd address o | of each applicant i                    | s required   |   |
|------------------------------|--|---------|--------------|--|--|---|
| Total number of ap           | plicants: (Not                         | te: Ple | ase use a se | parate form for ea                     | ech applicant)   |   |
| Name of applicant:           |  |         |              |  |  |   |
| Address<br>(can be a PO Box) |  |         |              | -                                      | ,  |   |
| ·                            |  |         |              | State                                  | Postcode   |   |
|                              | Country (if not Australia)             |         |              | · · · · · · · · · · · · · · · · · · ·  |  |   |
| Contact Name:                |  |         |              |  |  |   |
| Contact Details              | ************************************** |         |              |  |  |   |
|                              | Telephone                              | [       | )            |  |  |   |
|                              | Fax                                    | (       | )            |  |  |   |
|                              | Mobile Number:                         |         |              |  |  |   |
|                              | Email address:                         |         |              |  |  | :                                       |
|                              | ACN/ARBN (if applicable)               |         |              |  |  |   |
| 3. Name and address          | of the breeder                         |         |              |  |  |   |
| Name of original b           | reeder(s) who conducted or dir         | ected   | the work:    | ······································ |  |   |
|                              |  |         |              |  |  |   |
|                              |  |         |              |  | V-MACHINE COMMITTEE TO THE TOTAL THE TOTAL TO THE TOTAL TOTAL TO THE T |   |
| Employer:<br>(if applicable) |  |         |              |  |  | *************************************** |
| Address<br>(can be a PO Box) |  |         |              |  |  |   |
| (con be a r o box)           |  | **-     |              | State                                  | Postcode   |   |
|                              | Country (if not Australia)             |         |              |  |  |   |

By completing this form you consent to your personal information being handled in accordance with the Privacy Notice on page 1 of this form and the IP Australia Privacy Policy.

| Relationship of the breeder to the App  | licant detailed in questio   | n 1  |
|---|------------------------------|--|
| Breeder is the applicant  |                              |  |
| Breeder is an employee or member of a organisation which is the applicant                                   | Go to question               | 24   |
| Breeder is not the applicant  | ☐ ▶ How were the ov          | vnership rights transferred to the applicant?  |
|   | By assignment                |  |
|   | By will                      |  |
|   | By operation of<br>law/other | Specify  |
|   |                              |  |
|   |                              |  |
|   | Copy of the docu             | ment attached?   |
|   | Yes                          |  |
|   | No                           | Why Not?   |
|   |                              |  |
|   |                              |  |
|   |                              |  |
| 24. Application for PBR, declaration tha  | t all information is true a  | and correct.   |
| I/We the  |                              |  |
| Applicant as outlined in q  | uestion 1                    |  |
| Agent as outlined in ques   | tion 2 of the PBR00001       |  |
| <ul> <li>apply for Plant Breeder's</li> </ul>   | Rights to the variety desc   | ribed in this application, and   |
| <ul> <li>authorise the Plant Breed<br/>Rights Authorities of othe<br/>the rights of the Applican</li> </ul> | er countries all necessary   | purposes of examination, to exchange with the Plant Breeder's information and material related to the variety, provided that   |
|   | ding the material is used    | to the granting of PBR if required for comparative testing or for no other purpose and all material relating to the variety is |
| <ul> <li>declare that the informat</li> </ul>   | ion given in all parts of ar | nd attachments to this application is true and correct.  |
| Name (please print)   |                              |  |
| Position in Company/  |                              |  |
| Department<br>(if applicable)   |                              |  |
| Name of Company/<br>Department<br>(if applicable)   |                              |  |
| Date (DD/MM/Y   |                              |  |
| *The penalty under section 75(1) fo   | r intentionally or reckless  | sly making a false statement in support of an application is   |

PBR/00/003 (1118) Page 3 of 3

six months imprisonment











#### **Application for Plant Breeder's Rights**

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IP Australia will publish the:

Australian Government IP Australia

- Applicant name;
- Agent name;
- Qualified Person name; and
- Town, State and Country of the applicant's address

in the Register of Plant Varieties, the Plant Varieties Journal and the Plant Breeder's Rights Database. Once information is available on the internet, IP Australia has no control over its subsequent use and disclosure. You should be aware that the information (including personal information) held in IP Australia's online IP Rights databases is also available on request, subject to our terms and conditions.

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PART







## **Application for Plant Breeder's Rights**

#### **DESCRIPTION OF NEW VARIETY (the candidate variety)**

Australian Government IP Australia

The purpose of Part 2 is to present the results from the growing trial and/or information arising from a certified overseas test report - and in particular to present evidence of **Distinctness**, **Uniformity and Stability**.

The evidence of Distinctness will be published on the web in *Plant Varieties Journal* and must be submitted through the online Interactive Variety Description System (IVDS).

The evidence of **Uniformity** and **Stability** is generally not for publication and can be presented in the format outlined on the following pages. Where necessary attach additional pages. Uniformity and Stability information can be provided on disk or hard copy. Please read this form before entering information.

Part 2 must be accompanied by completed forms PBR/00/006 - Certification by a Qualified Person and PBR/00/009 - Confirmation of submission of propagating material to a genetic resource centre (GRC).

| 1. Application number   | r  |                                |                       |                 |
|---|--|--------------------------------|-----------------------|-----------------|
|   |  |                                |                       |                 |
| 2. Name and synonym   | n of the candidate variety as accepted by  | the PBR Office Australia       |                       |                 |
| Name  |  | synonym                        |                       |                 |
| 3. Botanical name   |  |                                |                       |                 |
|   |  |                                |                       |                 |
| 4. The candidate varie  | ty will be maintained by (Tick)  |                                | <del></del>           |                 |
| Seed  | Vegetative propagation   |                                |                       |                 |
| If it is also a grafted/bເ  | udded variety, please provide the name o   | f the rootstock to which the   | candidate is grafted, | /budded         |
|   |  |                                |                       |                 |
| 5. Stress Status of can   | didate variety (Tick)  | Stress Sta                     | itus of comparator v  | arieties (Tick) |
| (Tick 'n/a' only for vari   | eties subject to post entry quarantine)  |                                |                       |                 |
| Pathogen/pest fre   | ee Not free  | n/a Patho                      | gen/pest free         | Not free        |
| Virus indexed   | Not indexed  | n/a Virus                      | indexed               | Not indexed     |
| Stress free   | Not free   | n/a Stress                     | s free                | Not free        |
| Important: If disease, I  | pest or stress observed, provide a full exp  | lanation of the factors and o  | effects on a separate | page.           |
| DECLARATION BY ACC  | CREDITED QUALIFIED PERSON  |                                |                       |                 |
| The information in and attached to this form was obtained from: a) a scientifically conducted trial, collated and analysed under my supervision, and faithfully represents the expressions of the characteristics of these varieties; and/or b) a certified overseas test report obtained from a International Union for the Protection of New Varieties of Plants (UPOV) member state with any additional data presented being used to supplement and verify the overseas test report. |  |                                |                       |                 |
|   | as agreed with the applicant/agent is set on<br>the most similar varieties of common kno<br>gation of the variety. |                                |                       |                 |
| By tick<br>be tru   | king this box I declare myself to be the per<br>re and correct.*   | rson identified in this form a | and the information s | supplied to     |
| Name (please print)   |  |                                | Date                  | (DD/MM/YYYY)    |

\*THE PENALTY UNDER SECTION 75(1) FOR MAKING A FALSE STATEMENT IN SUPPORT OF AN APPLICATION IS SIX MONTHS IMPRISONMENT.

#### Distinctness

Evidence for distinctness is included in the detailed description of the variety and is usually based on a comparative trial grown in Australia. In some cases and subject to conditions\*, the detailed description can be drawn from an official overseas test report, obtained from a UPOV member state.

While preparing a description based on an overseas test report the distinctive characteristics of the variety must be confirmed under Australian conditions and appropriate Australian comparators should be considered and included in the description. Details of how the confirmation was conducted should be included in the 'Conditions' section of the detailed description.

The Qualified Person uses information from the comparative trial (or from the overseas test report) to prepare a Detailed Description of the variety. This detailed description **must** be submitted through the Interactive Variety Description System (IVDS). The IVDS is a secure system which needs individual username and password for access. All PBR accredited Qualified Persons are provided with their individual username and password. Please contact the PBR office if you do not have a username and password. IVDS can be accessed from PBR website at (<a href="https://www.ipaustralia.gov.au/pbr">www.ipaustralia.gov.au/pbr</a>).

The IVDS allows Qualified Persons to complete and submit detailed descriptions online by accessing relevant test guidelines and selecting specific characteristics with their various states of expressions from the options provided. The IVDS incorporates all of the approved UPOV test guidelines (and some additional national equivalents where a UPOV test guideline is not available) in interactive forms with easy to use drop-down menus. Qualified Persons can "build" their own additional/special characteristics if suitable options are not available in the guideline. The IVDS also accepts statistical information.

The IVDS emphasises the use of "grouping characteristics" in selecting comparator varieties. It allows Qualified Persons to lodge the completed variety descriptions with minimum typing.

To claim distinctness, the Qualified Person must nominate one or more characteristic(s) which distinguishes the candidate from the comparator variety(ies). Inbuilt check boxes are provided for this purpose.

There are step by step on-screen instructions with examples in each step of IVDS, which will assist the Qualified Person to complete the process smoothly. In addition, PBR Office (PBRO) is ready to help Qualified Persons, if they encounter any problems. Please send an email to <a href="mailto:pbr@ipaustralia.gov.au">pbr@ipaustralia.gov.au</a> if there is a problem in completing the description using IVDS.

#### Requirement to supply a photograph

A photograph must be provided for publication purposes. A good quality digital image depicting one or more distinguishing features of the candidate variety along with the comparators is preferred. The digital image should be well-labelled to avoid any confusion with the variety names. Please upload your digital photograph in the attachments section within eServices (<a href="www.ipaustralia.gov.au/get-the-right-ip/eservices/">www.ipaustralia.gov.au/get-the-right-ip/eservices/</a>).

In absence of a digital photograph you can also supply a good quality colour transparency or a colour print. In special cases, composite photographs can be produced by the PBR office.

Briefly describe the subject of your photograph. Indicate the position of the candidate and the comparators.

Indicate the distinct characters of the candidate variety that can be observed in the photograph.

#### Uniformity

Each candidate variety must be uniform. A variety is taken to be uniform, if subject to the variation that may be expected from the particular features of its propagation, it is uniform in its distinctive characteristics. For many species the level of uniformity required is specified in the relevant UPOV Technical Guideline (UPOV Technical guidelines are available at (<a href="https://www.upov.int/en/publications/tg-rom/index.html">www.upov.int/en/publications/tg-rom/index.html</a>).

#### Observed characteristics

For <u>observed</u> characteristics (ie not measured characteristics), uniformity is usually assessed using the <u>off-type method</u>. Qualified Persons should submit information recording the number of off-types (ie number of plants or samples which have a state of expression different from that claimed for the candidate) for the relevant <u>distinctive</u> characteristics. For example the candidate variety might be distinctively red flowered but occasionally there is a yellow flower (in the example below, one yellow flower in each ten flowers sampled).

<sup>\*</sup>Please contact the PBR office to discuss any detailed requirements

#### **OFF TYPE METHOD TABLE** Characteristic Normal state for Total number of Total number Abnormal expressions observed candidate plants/samples of off-types for assessed for this this characteristic characteristic Example: Red 10 1 Yellow Flower colour Requirement to supply uniformity information for each distinct characteristic Usually off-type or relative variance data must be provided for each distinctive characteristic claimed for the candidate variety. However, where the Qualified Person has not recorded any off-types for distinctive characteristics assessed by observation, then a statement to that effect can be made by checking the box (see under) in lieu of completing the off-type data table. For distinctive characteristics assessed by measurement, relative variance information should always be provided, (see under). No off-types have been recorded for any of the distinctive characteristics of the candidate variety assessed by observation. Measured characteristics When assessing and recording uniformity for measured characteristics (where it is often difficult to clearly identify what is or is not an off-type), Qualified Persons can use the relative variance method. Here, the variance for a measured distinctive characteristic of the candidate is compared with the mean variance of the comparator varieties for the same characteristic.

Using the following table, for each distinctive measured characteristic, calculate the "combined mean variance" by averaging the individual variances of the comparator varieties. Then calculate the "variance ratio" by dividing the variance of the candidate by the combined mean variance of the comparators (see example). All measured characteristics used to show distinctness <u>must</u> be included in this table unless otherwise agreed with the PBRO. The table may need to be expanded for trials with a large number of comparators or where the candidate has many distinctive characteristics.

#### **RELATIVE VARIANCE TABLE**

| Variance of<br>candidate<br>variety | Variance of<br>comparator<br>variety | Variance of<br>comparator<br>variety    | Variance of<br>comparator<br>variety            | Variance of<br>comparator<br>variety                               | Variance of<br>reference<br>variety  | Combined mean variances of comparator varieties   | Ratio<br>candidate/<br>mean of<br>comparators   |
|-------------------------------------|--------------------------------------|---|---|--|--|---|---|
| 5.1                                 | 6.5                                  | 5.5                                     | 4.3   | 5,3  | 6.2  | 5.56  | 0.917   |
|                                     |                                      |   |   |  |  |   |   |
|                                     |                                      |   | :   |  | 5  |   |   |
|                                     |                                      |   |   |  |  |   |   |
|                                     |                                      |   |   |  |  |   |   |
|                                     |                                      |   | -   |  |  |   |   |
|                                     | candidate<br>variety                 | candidate comparator<br>variety variety | candidate comparator comparator variety variety | candidate comparator comparator<br>variety variety variety variety | candidate comparator comparator comparator variety variety variety variety | candidate comparator comparator comparator reference<br>variety variety variety variety variety variety | candidate comparator comparator comparator reference mean variances variety variety of comparator variety of comparator variety of comparator varieties |

#### Stability

A variety is taken to be stable if its distinctive characteristics remain unchanged after repeated propagation. There is no need to provide stability data for comparator varieties.

#### Stability - for candidate varieties maintained by seed

Plants grown from a minimum of two seed generations of the candidate variety should be so alike that they could not be declared distinct from each other for any characteristic used to show distinctness of the candidate variety from the comparator or varieties.

- 'state' refers to the state of expression of a characteristic recorded in words
- for observed characteristics (ie not measured characteristics), leave columns 4 and 5 blank

#### STABILITY TABLE

| Characteristic                 | Mean or state<br>Generation 1 | for | Mean or state<br>Generation 2 | for  | Difference<br>between<br>the means | LSD* (P =< 0.01)<br>(measured<br>characteristics<br>only) | Same (S) or Different<br>(D)?  |
|--------------------------------|-------------------------------|-----|-------------------------------|------|------------------------------------|---|--|
| Example:<br>Plant: height (cm) | 127.1                         |     | 130.2                         |      | 3.1                                | 3.5   | S  |
|                                |                               |     |                               |      |                                    |   | A the state of the |
|                                |                               |     |                               |      |                                    |   |  |
|                                |                               |     |                               |      |                                    |   |  |
|                                |                               |     |                               |      |                                    |   |  |
| `                              |                               |     |                               |      |                                    |   | Walker Co. T. C.   |
|                                |                               |     |                               |      |                                    |   |  |
| <u></u>                        |                               |     |                               |      |                                    |   |  |
|                                |                               |     |                               | **** |                                    |   |  |

<sup>\*</sup>Least Significant Difference (LSD) test preferred though other appropriate statistical tests can also be used.

# Stability - for candidate varieties maintained by vegetative means Where no instability between generations for distinctive characteristics has been observed, then it is generally sufficient for the Qualified Person to make a statement to that effect by checking the box (see under) in lieu of completing a stability table. The distinctive characteristics of the candidate variety are stable (ie have remained unchanged) after repeated propagation. Where instability of distinctive characteristics is present in a vegetatively propagated candidate variety, the Qualified Person will need to contact the PBRO. Checklist of Attachments - Part 2 Application Have you included the following? One completed original Part 2 Application form (PBR/00/002) for Plant Breeder's Rights A completed Certification by a Qualified Person form (PBR/00/006) A completed Confirmation of submission of propagating material to a genetic resource centre form (PBR/00/009) Has evidence of distinctness been submitted via the online Interactive Variety Description System (IVDS)? Photograph or photographs showing the distinguishing characteristics of the new variety Have ALL questions been answered? Has the Qualified Person completed the declaration on page 1 of this form?









## Certification by a Qualified Person (QP)

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IP Australia will publish the:

- Applicant name;
- Agent name;
- · Qualified Person name and contact details; and
- Town, State and Country of the applicant's address

in the Register of Plant Varieties, the Plant Varieties Journal, the Plant Breeder's Rights Database and/or on our website. Once information is available on the internet, IP Australia has no control over its subsequent use and disclosure. You should be aware that the information (including personal information) held in IP Australia's online IP Rights databases is also available on request, subject to our terms and conditions.

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#### Consent

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# Certification by a Qualified Person (QP)

IP Australia

- To be completed by the applicant or the applicant's agent <u>and</u> the Qualified Person.
- The Qualified Person must be officially accredited for the species, in writing, by the PBR Office (PBRO).
- This completed form should be attached to, and submitted with, Part 2 of the application form PBR/00/002.

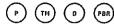
| Name of variety:  |                |  |   |
|---|----------------|--|---|
| Application number:   |                |  |   |
| Applicant's or Agent's name:  |                |  |   |
| Qualified Person's name:  |                |  |   |
| Answer all questions by ticking the appropriate bo<br>I am accredited with the Plant Breeders Ri                    |                | for this taxon as a:   | *************************************** |
| consultant Qualified Person   | J              |  |   |
| non-consultant Qualified Person   |                |  |   |
| the PBRO that they are suitable for examination v   | vithout a comp | e application process, the results of which are reported in Part 2   |   |
| Completion of Part 1 of the application form.   |                | Certification of the Part 2 application form.  | <b>V</b>                                |
| Determine the most similar varieties of common knowledge and the need to include source or paren material in trial. | tal 🗹          | Provide observations, data and statistical analysis of the DUS trial for the applicant to complete Part 2 of the application form. |   |
| Planning the test growing trial   |                | Completion of Part 2 of the PBR application.   |   |
| Recommending the most appropriate trial site for the varieties in trial.  | he 🗌           | Verification of the field trial, observations, data and statistical analysis.  |   |
| Choice of trial site  | 🔲              | Perform the necessary statistical analysis of the measurements to determine DUS.   | s 🔲                                     |
| Supervision of the layout and planting of the trial   |                | Provide a detailed description of variety in the PBR approved format.  |   |
| Care and maintenance of the trial   |                | Provide a comparative slide or a colour print of the variety showing distinctness characters.                                      |   |
| Instruction to applicant on the timing and nature of observations/measurements needed.                              |                | Make observations/take measurements to comply with approved DUS test guidelines.   |   |

| Declaration by Qualified F  | Person  |           |                   |  |  |
|---|---|-----------|-------------------|--|--|
| By ticking this box I declare correct.*   | myself to be the Qualified Person identified in this form and the information                       | n supplie | ed to be true and |  |  |
| Name (please print):  | E   | Date:     |                   |  |  |
|   |   |           | (DD/MM/YYYY)      |  |  |
|   | applicant should complete the section below to confirm that there is an agree ${ m tr}$             | ed unde   | rstanding on the  |  |  |
| Applicant/Agent   |   |           |                   |  |  |
| By ticking this box I declare information supplied to be  | e myself to be an authorised signatory for the Applicant/Agent identified in the true and correct.* | his form  | and the           |  |  |
| Name (please print):  | ι   | Date:     |                   |  |  |
|   |   |           | (DD/MM/YYYY)      |  |  |
| Name of Company or Department (if applicable)   |   |           |                   |  |  |
| For joint applicants where an agent has not been authorised, the name of <u>each</u> of the joint applicants is required. |   |           |                   |  |  |
| By ticking this box I declare correct.*   | e myself to be the person identified below and am authorised to sign. The info                      | ormatio   | n is true and     |  |  |
| Name (please print):  | ı   | Date:     |                   |  |  |
|   |   |           | (DD/MM/YYYY)      |  |  |
| Name of Company or Department (if applicable)   |   |           |                   |  |  |

\*THE PENALTY UNDER SECTION 75(1) FOR MAKING A FALSE STATEMENT IN SUPPORT OF AN APPLICATION IS SIX MONTHS IMPRISONMENT.



#### Application for a Declaration of **Essential Derivation**









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IP Australia will publish the:

- Applicant name;
- Agent name; and
- Town, State and Country of the applicant's address

in the Register of Plant Varieties, the Plant Varieties Journal and the Plant Breeder's Rights Database. Once information is available on the internet, IP Australia has no control over its subsequent use and disclosure. You should be aware that the information (including personal information) held in IP Australia's online IP Rights databases is also available on request, subject to our terms and conditions.

You should also be aware that the Registrar for Plant Breeder's Rights may need to:

- contact the grantee of the Plant Breeders Right for which you are seeking a declaration, regarding your application;
- disclose the contents of your application to the grantee of the Plant Breeder's Right.

If you do not provide the personal information required on the form, IP Australia may not be able to process this form.

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Plant Breeder's Rights Act 1994 - Sections 4, 40 and 41



# Application for a Declaration of Essential Derivation

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|------------|----|---|-----|
|            |    |   |     |

| Sections 1 to 3 to                                  | be completed by the App          | olicant             |                  |  |  |   |
|---|----------------------------------|---------------------|------------------|--|--|---|
| Note: This applicat                                 | ion must be accompanied          | l by the prescr     | ibed fee.        |  |  |   |
| Section 1: Genera                                   | al information about the A       | Applicant and       | varieties con    | cerned   |  |   |
| Name of Applicant:                                  |                                  | 41. UVV             |                  | MAN TO THE TOTAL THE TOTAL TO T |  |   |
|   | (person making this request f    | or declaration of   | essential deriva | tion)  |  |   |
| Address<br>(can be a PO Box):                       |                                  |                     |                  |  |  |   |
| *   |                                  |                     | State            |  | Postcode                                 |   |
|   | Country (if not Australia)       |                     |                  |  |  |   |
| Contact Details                                     |                                  |                     |                  |  |  |   |
| Contact person:<br>(if different from<br>applicant) |                                  |                     |                  |  |  |   |
| <i>applicant)</i><br>Telephone                      | ( )                              |                     | Fax              | ( )  | 4.00                                     |   |
| Mobile Number:                                      |                                  |                     |                  |  |  |   |
| Email address:                                      |                                  |                     |                  |  |  |   |
| Initial Variety (det                                | tails of your granted PBR        | variety)            |                  |  |  |   |
| PBR Application No.                                 |                                  |                     |                  |  |  |   |
| PBR Certificate No.                                 |                                  |                     |                  |  | 4000-640000000-0-0-0-0-0-0-0-0-0-0-0-0-0 |   |
| Variety name:                                       |                                  |                     |                  |  |  |   |
| Botanical name:                                     |                                  |                     |                  |  |  | w |
| Has the initial variety                             | itself been declared to be esser | ntially derived fro | om another varie | ety?   |  |   |
|   | Yes                              |                     |                  |  |  |   |
|   | No No                            |                     |                  |  |  |   |
| Second Variety (d                                   | etails of the variety you a      | re claiming is      | essentially de   | erived)  |  |   |
| If the second variety i                             | s the subject of an existing PBR | then provide de     | tails:           |  |  |   |
| PBR Application No.                                 |                                  |                     |                  |  |  |   |
| PBR Certificate No.<br>(if granted)                 |                                  |                     |                  |  |  |   |
| Variety name:                                       |                                  |                     |                  |  |  |   |
| Botanical name:                                     |                                  |                     |                  |  | -  |   |

# Second Variety (continued) If the second variety is not the subject of an existing PBR then provide details: Variety name: Botanical name: Breeder: Breeder Address: The above information must be sufficient to enable the Registrar to notify the breeder of the second variety of the application for essential derivation. If you are unable to reasonably identify the breeder of the second variety then outline steps you have undertaken to attempt to obtain the information

Note: To further consider the application, the information provided must be sufficient to satisfy the Registrar that reasonable steps have been undertaken in an attempt to identify the breeder of the second variety.

#### Section 2: Reasons for requesting a declaration of essential derivation

Provide all information relevant to establishing a prima facie case that the second variety is an essentially derived variety of the initial variety. Without limiting what might be included in this application, each matter raised in Section 4 of the Plant Breeder's Rights Act 1994, must be individually addressed (attach additional pages if required). Note when assessing the application for essential derivation the Registrar may seek further correspondence from either party, order a test growing or consider any other relevant information.

#### Section 3: Declaration by the Applicant

| the <i>Plant Breeder's Rights Act 1994</i> for a declaration that the second variety stated in this appliaforementioned variety. | lication is essentially | derived from the                        |
|--|-------------------------|---|
| By ticking this box  |                         |   |
| I/We:  |                         |   |
|  |                         | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
|  | Date:                   |   |
|  |                         | (DD/MM/YYYY)                            |

As the grantee or an exclusive licensee of the grantee of the initial variety stated in this application, I apply under Section 40 or 41 of

declare to be authorised to complete this application and that the information given in all parts of and attachments to this form are true and correct.\*

 $<sup>^{*}</sup>$  The penalty under section 75(1) for making a false statement in support of an application is six months imprisonment.

# Section 4 to be completed by IP Australia

| Section 4: Process for assessing an application for essential derivation  Note: Grantee also includes an exclusive licensee of the grantee.  Prior declarations affecting initial variety  |                 |                  |              |
|--|-----------------|------------------|--------------|
| Has the initial variety been declared essentially derived from another variety? If yes, then refuse application for essential derivation and notify applicant  | Yes 🔲           | No               |              |
| Application must contain <i>prima facie</i> case of essential derivation   |                 |                  |              |
| Has a prima facie case been established?   | Yes 🔲           | No               |              |
| If no, has the applicant been notified with reasons for the decision?  | Yes 🗌           | No 🗌             |              |
| If yes, has notification been sent to grantee of second variety allowing 30 days (or other such period as allowed by the delegate) in which to establish that the second variety is not an essentially derived variety of the initial variety? | Yes 🗔           | No 🌅             |              |
| Final Declaration  | , <u>L</u>      |                  |              |
| After considering all relevant information, is the delegate satisfied that the grantee or breeder of the second variety has rebutted the <i>prima facie</i> case?  | Yes 🗌           | No               |              |
| If yes, notify both the applicant and grantee or breeder of the second variety of result; and pro  | ovide reasons   | to the applicant |              |
| If no, declare that the second variety is essentially derived from the initial variety; notify both breeder of the second variety, and provide reasons to the grantee or breeder of the second variety.  |                 | and grantee or   |              |
| Reason:  |                 | <del></del>      |              |
|  | MINING.         | <del></del>      |              |
|  |                 |                  |              |
|  |                 |                  |              |
|  |                 |                  | <del>/</del> |
|  | MMM 1770/4/1777 |                  |              |
|  |                 |                  |              |
|  |                 |                  |              |
| Written notification of the declaration has been provided to the grantee of the initial variety and the grantee or breeder of the second variety   | Yes             | No 🗍             |              |
|  |                 |                  |              |
|  |                 |                  |              |
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|  |                 |                  |              |
| Delegate of Registrar of Plant Breeder's Rights Date:  |                 |                  |              |









#### Application to Rectify the PBR Register

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IP Australia will publish the:

- Applicant name, phone and fax numbers;
- Agent name, phone and fax numbers;
- Town, State and Country of the applicant's address; and
- Details of any amendment to the PBR Register

in the Register of Plant Varieties, the Plant Varieties Journal and the Plant Breeder's Rights Database. Once information is available on the internet, IP Australia has no control over its subsequent use and disclosure. You should be aware that the information (including personal information) held in IP Australia's online IP Rights databases is also available on request, subject to our terms and conditions.

You should also be aware that under the International Union for Protection of New Varieties of Plants (UPOV) (www.upov.int/ portal/index.html.en) Convention, IP Australia is required to disclose information regarding plant breeder's rights applications (including the name of the applicant) to the UPOV in Geneva, Switzerland. Once information is provided to UPOV, IP Australia has no control over its subsequent use and disclosure.

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# **Application to Rectify the PBR Register**

| Personal Details of Applicant |   |   |                          | (* denotes mandatory fields)   |  |  |  |
|-------------------------------|---|---|--------------------------|--|--|--|--|
| *Name                         |   | ACN/ARBN/ABI                            | ACN/ARBN/ABN             |  |  |  |  |
| *Address                      |   |   |                          |  |  |  |  |
| (can be a PO<br>Box)          | Country (if not Australia)  |   | State                    | Postcode   |  |  |  |
|                               | Service (if different from the above address) ervice of documents in Australia or New Zeala | n <b>d</b> (can be a P                  | ) Box)                   |  |  |  |  |
| Address                       |   | <u> </u>                                |                          |  |  |  |  |
|                               | Country   |   | State                    | Postcode   |  |  |  |
| <u>OR</u><br>Agent Details    | (only complete if you are being represented by  | an Agent auth                           | orised to act on your be | ehalf)   |  |  |  |
| Name                          |   |   |                          | 1.0.00   |  |  |  |
| Address                       |   | *************************************** |                          |  |  |  |  |
|                               | Country (if not Australia)  | M                                       | State                    | Postcode   |  |  |  |
| Optional De                   | etails:   |   |                          |  |  |  |  |
| Telephone                     | ( ) Fax (   | )                                       | Mobile<br>Numbe          |  |  |  |  |
| Email<br>Address              |   |   | Customer                 | A Company of the Comp |  |  |  |

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IP Australia publishes address details in our online databases and bulk data products. Please provide a post office box if you do not want your residential address to be published.





# Australian Government Application to Rectify the PBR Register

THIS FORM SHOULD BE USED FOR AMENDMENTS TO RECTIFY THE PBR REGISTER UNDER S62A of the PBR Act

#### **Part 1 Formality Details**

If more room is required than is provided on the following pages you can attach your request to the back of this form

| PBR Certificate Number(s)   | Variety name   |  |  |  |  |
|---|--|--|--|--|--|
|   |  |  |  |  |  |
|   |  |  |  |  |  |
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|   |  |  |  |  |  |
| Current proceedings   |  |  |  |  |  |
| The Register cannot be rectifi  | ed while relevant proceedings in relation to the PBR are pending or proceedings in a court or in the AAT, 21 of the PBR Act to amend or refuse to amend, the Register in relation to the PBR, are pending. |  |  |  |  |
| Complete the following:   |  |  |  |  |  |
|   | rrent proceedings in relation to the PBR varieties identified in this application  |  |  |  |  |
| OR  | ing current proceedings in relation to the PBR varieties identified in this application  |  |  |  |  |
| Tom aware of the follow   | ing correct proceedings in relation to the salt varieties identified in this application   |  |  |  |  |
| Details of current proceedings  |  |  |  |  |  |
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|   |  |  |  |  |  |
| Part 2 Amendment Deta   | ails   |  |  |  |  |
| Tick the appropriate box(s) a   | nd provide reasoning.  |  |  |  |  |
| Type of amendment request   | ed   |  |  |  |  |
| omission of an entry from   | n the register   |  |  |  |  |
| an entry made in the Reg  | gister without sufficient cause  |  |  |  |  |
| an entry wrongly existing   | ş in the Register  |  |  |  |  |
| an error or defect in any entry in the Register   |  |  |  |  |  |
| Land of the state |  |  |  |  |  |

Note: If the reason is not sufficient the Registrar may seek further information from any person











# Australian Government Application to Rectify the PBR Register

| Details of the amendment(s) requested and reasoning |  |  |  |  |
|---|--|--|--|--|
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# Australian Government Application to Rectify the PBR Register

#### Nature of Amendment:

| Type or attach a copy of details in the box as to how you wish the Register to be rectified. |   |  |  |  |
|--|---|--|--|--|
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All amendment details have been entered or attached to this form.